

# An introduction to Elliptic Cohomology and Conformal Field Theories

Winter school GK1150, Schloss Mickeln  
From Field Theories to Elliptic Objects

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March 8, 2006

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# A measure for spaces

- 1  $\mu(*) = 1$
- 2  $\mu(X) = \mu(X_1) + \mu(X_2) - \mu(X_1 \cap X_2)$  if  $X = X_1 \cup X_2$
- 3  $\mu(X) = \mu(Y)$  if  $X$  is diffeomorphic to  $Y$

$\mu$  exists, essentially unique, takes values in the integers

$\mu$  is the Euler-Poincaré characteristic

Further properties:

- 4  $\mu(X \times Y) = \mu(X)\mu(Y)$
- 5  $\mu(X \sqcup Y) = \mu(X) + \mu(Y)$

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# Methods of calculation

- covering
- $\mu(X) = \sum_i (-1)^i \dim H^i(X; \mathbb{R})$
- $F$  oriented closed surface in  $\mathbb{R}^3$

**Gauss curvature:**  $\kappa = \kappa_{\min} \kappa_{\max}$

$$\mu(F) = \int_F \frac{\kappa}{2\pi} d\sigma = \int_F e(TF)$$

with  $e(TF) \in H^2(F; \mathbb{R})$  the **Euler class**

- $X$  oriented, closed Riemannian,  $\dim(X) = 2n$

$$\mu(X) = \int_X e(TX)$$

with  $e(TX) \in H^{2n}(X)$

(if  $TX = l_1 \oplus l_2 \oplus \dots \oplus l_n$  then

$$e(TX) = x_1 x_2 \cdots x_n; \quad x_i = e(l_i))$$

- $\mu(X) = \text{ind}(d + d^* : \text{even} \rightarrow \text{odd alternating forms})$

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- 6  $\mu(X) = \mu(Y)$  if there is an (orientable)  $W$  with

$$\partial W = X + (-Y); \quad X \text{ is bordant to } Y$$

## Definition

A map with 1,3,4,5,6 is called a **genus**

$$\mu : \underbrace{\{\text{closed oriented mfds}\} / \text{bordism}}_{\Omega_{SO}} \xrightarrow{\text{ring map}} R$$

## Example

- The Euler characteristic is not a genus but the map

$$\Omega_{SO}^* \longrightarrow \Omega_{SO}^0 \longrightarrow \mathbb{Z}$$

which counts points is.

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## Example

- $\text{sig}(X^{4n}) = \text{signature of the quadratic form}$

$$(x, y) \mapsto \int_X x \wedge y = \langle x \cup y, [X] \rangle$$

## Theorem (Hirzebruch)

$$\text{sig}(X) = \int_X \prod_{i=1}^{2n} \frac{x_i}{\tanh(x_i)}$$

Moreover,

$\text{sig}(X) = \text{ind}(D^+ = d + d^* : \text{positive} \longrightarrow \text{negative forms})$

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# The spin refinement

Suppose  $X$  is **spin** (obstructions:  $\omega_1 = \omega_2 = 0$ ), that is, the **loop space**

$$LX = \{s : S^1 \longrightarrow X \mid s \text{ smooth}\}$$

is oriented. Then we have a bundle of **spinors**  $\Delta^\pm$  and a **Dirac operator**  $\partial^+ : \Delta^+ \longrightarrow \Delta^-$  with the property

$$\text{ind}(D^+) = \text{ind}(\partial^+ \otimes (\Delta^+ \oplus \Delta^-))$$

## Theorem (Atiyah-Singer)

The index of  $\partial^+$  is a **genus**

$$\hat{A} : \Omega^* \text{Spin} \longrightarrow \mathbb{Z}$$

Moreover, we have the formula

$$\text{ind}(\partial^+) = \int_X \prod_{i=1}^{2n} \frac{x_i}{2 \sinh(x_i/2)}$$

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# Witten's analysis

What is the index of  $\partial^+$  on the **loop space**  $LX$ ?

Suppose  $LX$  is Spin, that is, suppose  $X$  is **String**

(obstructions:  $\omega_1 = \omega_2 = p_1/2 = 0$ ). Then we have

$$\begin{aligned}T_\gamma LX &\cong \{\text{vector fields along } \gamma\} \\ &\cong \Gamma(\gamma^* TX) \\ &\cong L(T_p X) \text{ if } \gamma \text{ is constant } p.\end{aligned}$$

This gives for the fix point set  $X = (LX)^{S^1}$

$$T(LX)|_X \cong L(TX)|_X \cong TX \oplus \widehat{\bigoplus_{k=1}^{\infty} (TX \otimes \mathbb{C})} q^k$$

by Fourier expansion. The equivariant fix point formula of Atiyah and Segal applied to this infinite dimensional situation hence gives

$$\text{ind}_{S^1}(\partial^+) = \int_X \prod_{i=1}^{2n} \frac{x_i}{2 \sinh(x_i/2)} \prod_{k=1}^{\infty} \frac{(1 - q^k)^2}{(1 - q^k e^{x_i})(1 - q^k e^{-x_i})}$$

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# The Witten genus

## Definition

This integral power series

$$W(X) \in \mathbb{Z}[[q]]$$

with  $q = e^{2\pi i\tau}$  is called the **Witten genus** of  $X$ .

It is an integral **modular form**, that is, an invariant of the pair  $(\mathbb{C}/\mathbb{Z} + \tau\mathbb{Z}, dz)$ :

$$W : \Omega_{String}^* \longrightarrow \mathbb{Z}[c_4, c_6, \Delta]/(c_4^3 - c_6^2 - 1728\Delta)$$

with the Eisenstein series

$$c_4 = 1 + 240 \sum_{n \geq 1} \left( \sum_{d|n} d^3 \right) q^n$$

$$c_6 = 1 - 504 \sum_{n \geq 1} \left( \sum_{d|n} d^5 \right) q^n$$

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# Pontryagin numbers

Natural multiplicative transformations of cohomology theories such as

$$\begin{aligned}\Omega_{\text{SO}}^*(X) &\longrightarrow H^*(X; \mathbb{Z}) \\ \left[ M \xrightarrow{f} X \right] &\mapsto f_!(1) \\ \left[ X \xrightarrow{\sigma} TX \right] &\mapsto \sigma_!(1)|_X = e(TX) = x_1 \cdots x_n\end{aligned}$$

give a system of  $(H\mathbb{Z}-)$  **Pontryagin classes** which can be integrated to **Pontryagin numbers**.

**Theorem (Thom, Milnor, Novikov, Wall)**

*$M, N$  are oriented bordant iff all  $H\mathbb{Z}-, H\mathbb{Z}/2$ -Pontryagin numbers coincide.*

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## Theorem (Anderson, Brown, Peterson)

*M, N Spin are bordant iff all  $H\mathbb{Z}/2$  and KO-Pontryagin numbers coincide.*

The KO-numbers come from the natural transformation

$$\hat{A} : \Omega_{Spin} \longrightarrow KO$$

where KO is **real K-theory**

$$KO(X) = \{\text{vector bundles over } X + \text{formal inverses}\}.$$

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# Topological modular forms

The string bordism ring is not known.

**Theorem (Hopkins et al.)**

*The Witten genus generalizes to a map of spectra*

$$W : \Omega_{String} \longrightarrow tmf$$

*The coefficient ring*

$$tmf^*(*) = \{ \text{topological modular forms} \}$$

*maps into the ring of integral modular forms.*

**Conjecture:**

$M, N$  are String bordant iff all  $H\mathbb{Z}/2$ -,  $TMF$ -Pontryagin numbers coincide (with  $TMF = tmf[\Delta^{-1}]$ ).

Note: This would lead to an understanding of the bordism ring  $\Omega_{string}^*$  and of a great chunk of  $\Omega_{fr}^* = \pi_{st}^*$ .

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# Construction of $tmf$

Weierstrass equation:

$$E : y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

with  $a_1, a_2, \dots, a_6 \in R$ ,  $\Delta \in R^\times$ .

If  $1/6 \in R$  then  $E$  can be written in the form

$$y^2 = x^3 - 27c_4x - 54c_6.$$

The equation gives the universal curve over

$$(\mathbb{Z}[c_4, c_6, \Delta]/c_4^3 - c_6^2 - 1728\Delta) [1/6] [\Delta^{-1}] = mf [(6\Delta)^{-1}]$$

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Its formal group law is classified by a ring map from the Lazard ring  $L$ .

## Theorem (Quillen)

*Let  $\Omega_U^*$  be the unitary bordism ring. Then there is an isomorphism of rings*

$$L \cong \Omega_U^*.$$

Set

$$TMF [1/6]^* X = \Omega_U^*(X) \otimes_{\Omega_U^*} mf \left[ (6\Delta)^{-1} \right]$$

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To include  $p = 2, 3$  take the best possible approximation to the universal object **in the derived sense**:

$$TMF = \text{holim} E; \text{ with } E \text{ an } E_\infty \text{ elliptic spectrum}$$

### Problems:

- construction of the functor "E"
- relation to analysis on loop spaces
- a geometric interpretation

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# The $(0, 1)$ -dimensional case

Let  $\mathcal{B}X^1$  be the category with objects the points in  $X$  and morphisms

$$\mathcal{B}(x_0, x_1) = \{\text{paths in } X \text{ from } x_0 \text{ to } x_1\}.$$

Suppose  $V$  is a vector bundle with connection. Then  $V$  defines a continuous functor

$$\begin{aligned} F : \mathcal{B}X^1 &\longrightarrow \text{vector spaces} \\ x &\longmapsto V_x \\ \gamma &\longmapsto (\text{parallel transport} : V_{x_0} \rightarrow V_{x_1}) \end{aligned}$$

$F$  is a  $(0, 1)$ -dimensional **field theory**.

Idea: Use 1-dimensional field theories to describe  $K$ -theory.

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# The $KO$ -Hurewicz class

## Example

Let  $V$  be the spinor bundle over a spin manifold  $M$ . Set

$$H = L^2(M; V).$$

Then the field theory associated to the  $KO$ -Hurewicz class is

$$\begin{array}{ll} \mathcal{B}(\ast)^1 & \longrightarrow \text{vector spaces} \\ \ast & \mapsto H \\ I_t & \mapsto e^{-t\partial^2} + \underbrace{\theta\partial e^{-t\partial^2}}_{\text{super coordinate}} \end{array}$$

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# The $(-1, 0)$ -dimensional case

Let  $\mathcal{B}X^0$  be the category with the object  $\emptyset$  and the super point  $* = \mathbb{R}^{0|1}$  as morphism. Then we have

Field Theories	de Rham cohomology
$* \rightarrow M$ functor $F : \mathcal{B}X^0 \rightarrow v.s.$ euclidian, invariant up to concordance with Clifford grading	exterior form differential form closed, even forms even cohomology class all cohomology classes

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# The (1, 2)-dimensional case

Let  $\mathcal{B}X^2$  be the category whose objects are 1-dimensional (super, . . .-) manifolds in  $X$  and with morphisms

$$\mathcal{B}X^2(S_0, S_1) = \{\text{surfaces in } X \text{ with boundary } S_0 + (-S_1)\}$$

(and eventually some extra structure). Consider continuous (or holomorphic?) functors

$$F : \mathcal{B}X^2 \longrightarrow \text{Hilbert spaces + trace class maps}$$

G. Segal formulates a **contraction property**: If  $A_q$  is an annulus for some  $q = e^{2\pi i\tau}$  then the trace of the operator

$$F(A_q) : F(S^1) \longrightarrow F(S^1)$$

should only depend on the glued closed object  $\Sigma_\tau$  and its metric. This implies that  $F(\Sigma_\tau)$  is a **modular form**.

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Stolz and Teichner noticed that the **Mayer-Vietoris property** can only be satisfied if one allows manifolds with boundaries, that is, intervals as objects. The field theories then should satisfy

$$F(\gamma_0 \cup \gamma_1) = F(\gamma_0) * F(\gamma_1)$$

where the right multiplication is **Connes' fusion product**. The main result is a construction of the Euler class in this context, which in a relative version, can lead to a Thom class and thus to the desired map of spectra

$$W : MString \longrightarrow tmf.$$

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