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Relative Trace Formulae in Analytic Number Theory

Solution to Set 2

The exercises in this set and the solutions form an overview of the very nice 1980 paper *Fourier coefficients of cusp forms and the Riemann zeta-function* by Henryk Iwaniec.

Exercise 2.1.a) The first estimate follows by using the Weil bound for the Kloosterman sums:

$$\begin{aligned}
 |B(c, N)| &\leq \sum_{N_1 < n, m \leq N} |\overline{b_m} b_n S(n, m; c)| \\
 &\ll c^{\frac{1}{2} + \epsilon} \sum_{N_1 < n, m \leq N} |\overline{b_m} b_n| (n, m, c)^{\frac{1}{2}} \\
 &\ll c^{\frac{1}{2} + \epsilon} \sum_{d|c} d^{\frac{1}{2}} \left(\sum_{\substack{\frac{N_1}{d} < n \leq \frac{N}{d}, \\ (n, c) = 1}} |b_{dn}| \right)^2 \\
 &\ll c^{\frac{1}{2} + \epsilon} N \sum_{d|c} d^{-\frac{1}{2}} \sum_{\substack{\frac{N_1}{d} < n \leq \frac{N}{d}, \\ (n, c) = 1}} |b_{dn}|^2 \ll c^{\frac{1}{2} + \epsilon} N \sum_{N_1 < n \leq N} |b_n|^2.
 \end{aligned}$$

To derive the second estimate we first note that since $c > N^{1-\epsilon}$ we have $A = \frac{N}{c}\theta < 3N^\epsilon$ and $A/2 < \frac{\sqrt{nm}}{c}\theta \leq A$. Let η be a smooth function with support in $[1/4, 4]$ so that $\eta|_{[1/2, 2]} \equiv 1$. We obtain

$$B(c, N) = \sum_{N_1 < n, m \leq N} \overline{b_m} b_n S(n, m; c) e\left(\theta \frac{\sqrt{nm}}{c}\right) \eta\left(\theta \frac{\sqrt{nm}}{cA}\right).$$

Put

$$R(s) = \int_0^\infty \eta\left(\frac{x}{A}\right) e(x) x^{s-1} dx.$$

This function is trivially bounded by $R(s) \ll_\eta A^{\Re(s)}$ and by partial integration one gets

$$R(s) \ll A^{\Re(s)} \Im(s)^{-2} \text{ for } |\Im(s)| > 4\pi A.$$

By Mellin inversion we get

$$\eta(x/A) e(x) = \frac{1}{2\pi i} \int_{(\sigma)} R(s) x^{-s} ds \text{ for } \sigma > 0.$$

The desired estimate now follows from the large sieve and the definition of the Kloosterman sum:

$$\begin{aligned}
 B(c, N) &= \frac{1}{2\pi i} \int_{(\sigma)} R(s) \sum_{N_1 < n, m \leq N} \overline{b_m} b_n \left(\frac{\sqrt{nm}}{c} \theta \right)^{-s} S(n, m; c) ds \\
 &\ll c^\sigma \int_{(\sigma)} \sum_{\substack{h \bmod c, \\ (c, h) = 1}} \left| \sum_{N_1 < m \leq N} \overline{b_m} m^{-\frac{s}{2}} e\left(m \frac{h}{c}\right) \right| \cdot \left| \sum_{N_1 < n \leq N} b_n n^{-\frac{s}{2}} e\left(n \frac{\overline{h}}{c}\right) \right| \cdot |R(s)| ds \\
 &\ll c^\sigma \int_{(\sigma)} \left(\sum_{\substack{h \bmod c, \\ (c, h) = 1}} \left| \sum_{N_1 < m \leq N} \overline{b_m} m^{-\frac{s}{2}} e\left(m \frac{h}{c}\right) \right|^2 \right)^{\frac{1}{2}} \left(\sum_{\substack{h \bmod c, \\ (c, h) = 1}} \left| \sum_{N_1 < n \leq N} b_n n^{-\frac{s}{2}} e\left(n \frac{h}{c}\right) \right|^2 \right)^{\frac{1}{2}} \cdot |R(s)| ds \\
 &\ll c^\sigma (c + N) \sum_{N_1 < n \leq N} |b_n|^2 n^\sigma \int_{(\sigma)} |R(s)| ds \ll c^\sigma N^{-\sigma} A^{\sigma+1} (c + N) \sum_{N_1 < n \leq N} |b_n|^2 \\
 &\ll A(c + N) \sum_{N_1 < n \leq N} |b_n|^2 \ll N^\epsilon (c + N) \sum_{N_1 < n \leq N} |b_n|^2.
 \end{aligned}$$

The final estimate turns out to be the hardest, even though the ingredients are again elementary. First we write

$$\begin{aligned}
 B(c, N) &= \sum_{N_1 < n, m \leq N} \overline{b_m} b_n \sum_{d|(n, m, c)} d S\left(1, \frac{nm}{d^2}; \frac{c}{d}\right) e\left(\theta \frac{\sqrt{nm}}{c}\right) \\
 &= \sum_{d|c} d \underbrace{\sum_{\frac{N}{2d} < n, m \leq \frac{N}{d}} \overline{b_{dm}} b_{dn} S\left(1, mn; \frac{c}{d}\right) e\left(\theta \frac{\sqrt{mn}}{c/d}\right)}_{=X\left(\frac{c}{d}, \frac{N}{d}\right)}
 \end{aligned} \tag{1}$$

For notational sake we set $x_n = b_{dn}$, $q = \frac{c}{d}$, $M = \frac{N}{d}$ and $M_1 = \frac{N_1}{d}$. By Cauchy-Schwarz we have

$$|X(q, M)|^2 \leq \left(\sum_{M_1 < n \leq M} |x_n|^2 \right) \cdot \underbrace{\left(\sum_n \eta\left(\frac{n}{M}\right) \left| \sum_{M_1 < m \leq M} \overline{x_m} S\left(1, mn; q\right) e\left(\theta \frac{\sqrt{mn}}{q}\right) \right|^2 \right)}_{=S(M)}.$$

We need to estimate the second factor. This is done by opening the square as well as the Kloosterman sum and moving the n -sum in. This leads to

$$S(M) = \sum_{M_1 < m_1, m_2 \leq M} \overline{x_{m_1}} x_{m_2} \sum_{\substack{h_1, h_2 \bmod c, \\ (h_1 h_2, c) = 1}} e\left(\frac{\overline{h_1} - \overline{h_2}}{q}\right) \sum_n f(n),$$

where

$$f(n) = \eta\left(\frac{n}{M}\right) e\left(\frac{h_1 m_1 - h_2 m_2}{q} n + \theta \frac{\sqrt{m_1} - \sqrt{m_2}}{q} \sqrt{n}\right) = \eta\left(\frac{n}{M}\right) e(an + b\sqrt{n}).$$

The n -sum is treated using Poisson summation:

$$\sum_n f(n) = \sum_n \widehat{f}(n) \text{ with } \widehat{f}(u) = \int_{\mathbb{R}} \eta\left(\frac{n}{M}\right) e((a-u)t + b\sqrt{t}) dt.$$

Recall that $a \in \frac{1}{q}\mathbb{Z}$. In particular, if $u \neq a$, then $|a-u| \geq q^{-1}$. On the other hand, for $t \in \text{supp}(\eta(\frac{\cdot}{M}))$, we can estimate

$$bt^{-\frac{1}{2}} \ll \frac{|m_1 - m_2|}{Mq} \ll q^{-1}.$$

Applying l -times partial integration yields

$$\widehat{f}(u) \ll_l (|u-a|M)^{-l} M.$$

With this at hand we can estimate

$$\sum_{n \neq a} \widehat{f}(n) \ll \left(\frac{q}{M}\right)^l M \ll N^{-\epsilon} M.$$

In the last step we used $\frac{q}{M} = \frac{c}{N}$ and $c \leq N^{1-\epsilon}$. Now we can choose l as large as necessary (depending on ϵ) to obtain

$$\sum_n \widehat{f}(n) = \delta_{a \in \mathbb{Z}} \widehat{f}(a) + O(M^{-1}).$$

The condition $a \in \mathbb{Z}$ yields the congruence

$$h_1 m_1 \equiv h_2 m_2 \pmod{q}.$$

For this case we have to distinguish diagonal and off-diagonal contributions from the m_i -sums. Indeed for $m_1 = m_2$ we can not improve upon $\widehat{f}(a) \ll M$. However, if $m_1 \neq m_2$, then partial integration gives

$$\widehat{f}(a) = \int_{\mathbb{R}} \eta\left(\frac{t}{M}\right) e(b\sqrt{t}) dt \ll \frac{\sqrt{M}}{|b|} \ll \frac{qM}{|m_1 - m_2|}.$$

Note that

$$\sum_{\substack{h_1, h_2 \pmod{q}, \\ (h_1 h_2, q) = 1, \\ h_1 m_1 \equiv h_2 m_2 \pmod{q}}} e\left(\frac{h_1 - h_2}{q}\right) \ll (m_1 - m_2, q).$$

Gathering these estimates we find

$$\begin{aligned} \mathcal{S}(M) &\ll qM \sum_{M_1 < m_1 \leq M} |x_{m_1}|^2 + qM \sum_{\substack{M_1 < m_1, m_2 \leq M, \\ m_1 \neq m_2}} \frac{(m_1 - m_2, q)}{|m_1 - m_2|} |x_{m_1} x_{m_2}| \\ &\ll qM \sum_{M_1 < m_1 \leq M} |x_{m_1}|^2. \end{aligned}$$

This gives

$$X(q, M) \ll \sqrt{qM} \sum_{M_1 < m_1 \leq M} |x_{m_1}|^2$$

and we finally obtain

$$B(c, N) = \sum_{d|c} dX\left(\frac{c}{d}, \frac{N}{d}\right) \ll \sum_{d|c} \sqrt{cN} \sum_{\frac{N_1}{d} < n \leq \frac{N}{d}} |b_{dn}|^2 \ll c^{\frac{1}{2}} N^{\frac{1}{2}} \sum_{N_1 < n \leq N} |b_n|^2.$$

This gives the last claim.

Exercise 2.1.b) We take η as in the previous section and define $\varphi(x) = \eta\left(\frac{x}{T}\right)$. We obtain

$$\begin{aligned} \int_0^\infty \varphi(x) A(x, t) dx &= \int_0^\infty \eta\left(\frac{x}{T}\right) \frac{\sinh(\pi x)}{\cosh(\pi x)^2 + \sinh(\pi t)^2} dx \\ &\geq \int_{T/2}^T \frac{\sinh(\pi x)}{\cosh(\pi x)^2 + \sinh(\pi t)^2} dx \\ &> \frac{1}{\cosh(\pi t)} \end{aligned}$$

for $t \in [T/2, T]$ as required. With this at hand we can estimate

$$\sum_{T/2 \leq t_j \leq T} \frac{1}{\cosh(\pi t_j)} \left| \sum_{N/2 \leq n \leq N} a_n \rho_j(n) \right|^2 \leq \sum_{N/2 \leq m, n \leq N} \overline{a_m} a_n \int_0^\infty \varphi(x) \sum_{j=1}^\infty A(x, t_j) \overline{\rho_j(m)} \rho_j(n) dx.$$

By positivity we can add the Eisenstein contribution and apply the forward Kuznetsov formula. This gives

$$\begin{aligned} \sum_{T/2 \leq t_j \leq T} \frac{1}{\cosh(\pi t_j)} \left| \sum_{N/2 \leq n \leq N} a_n \rho_j(n) \right|^2 &\leq \frac{1}{2\pi^2} \int_0^\infty x \varphi(x) dx \sum_{N/2 \leq n \leq N} |a_n|^2 \\ &\quad + \sum_{c=1}^\infty \frac{4\pi^2}{c^2} \sum_{N/2 \leq n, m \leq N} \overline{a_m} a_n \sqrt{nm} S(n, m; c) \Phi\left(4\pi \frac{nm}{c}\right). \end{aligned}$$

Here

$$\Phi(x) = \int_0^\infty \frac{t\varphi(t)}{\cosh(\pi t)} \int_x^\infty (J_{2it}(u) + J_{-2it}(u)) \frac{du}{u} dt$$

as required.

Exercise 2.1.c) First we simplify the integral defining Φ as follows

$$\begin{aligned}
 \Phi(x) &= \int_0^\infty \frac{t\varphi(t)}{\cosh(\pi t)} \int_x^\infty (J_{2it}(u) + J_{-2it}(u)) \frac{du}{u} dt \\
 &= \frac{4}{\pi} \Re \int_0^\infty \frac{t\varphi(t)}{\cosh(\pi t)} \int_x^\infty \int_0^\infty \sin(u \cosh(y) - i\pi t) \cosh(2ity) dy \frac{du}{u} dt \\
 &= \frac{4}{\pi} \Re \int_0^\infty \frac{t\varphi(t)}{\cosh(\pi t)} \int_0^\infty \int_{x \cosh(y)}^\infty \sin(u - i\pi t) \frac{du}{u} \cosh(2ity) dy dt \\
 &= -\frac{2}{\pi} \Re \int_0^\infty \frac{\varphi(t)}{\cosh(\pi t)} \int_0^\infty i \sin(x \cosh(y) - i\pi t) \frac{\sinh(y)}{\cosh(y)} \sinh(2ity) dy dt \\
 &= -\frac{2}{\pi} \int_0^\infty \int_0^\infty \frac{\varphi(t)}{\cosh(\pi t)} \Re[i \sin(x \cosh(y) - i\pi t) \sinh(2ity)] dt \frac{\sinh(y)}{\cosh(y)} dy \\
 &= \frac{2}{\pi} \int_0^\infty \int_0^\infty \varphi(t) \sin(2ty) dt \sin(x \cosh(y)) \frac{\sinh(y)}{\cosh(y)} dy
 \end{aligned}$$

In the fourth line we applied partial integration to the y -integral and afterwards some addition formula for trigonometric functions.

We put $\delta = N^\epsilon T^{-1}$. For $y > \delta$ partial integration one can estimate the inner integral by

$$\int_0^\infty \varphi(t) \sin(2ty) dt \ll_l T(yT)^l \ll KN^{-2}$$

when l is taken to be large enough. Thus we can insert a cutoff function ξ with support in $[0, 2\delta]$ and $\xi|_{[0, \delta]} \equiv 1$ into the y -integral with an acceptable error. This gives

$$\Phi(x) = \Delta(x) + O(KN^{-2}) \text{ for } \Delta(x) = \frac{2}{\pi} \int_0^\infty \int_0^\infty \xi(y) \varphi(t) \sin(2ty) dt \sin(x \cosh(y)) \frac{\sinh(y)}{\cosh(y)} dy.$$

We write

$$\Delta(x) = \int_0^\infty \int_0^\infty K(t, y) \sin(x \cosh(y)) dt dy.$$

Note that

$$\|K\|_{L^1} = \int_0^\infty \int_0^\infty |K(t, y)| dt dy \ll \delta^2 T = N^{2\epsilon} T \leq 1.$$

For $x \gg T^2$ we apply partial integration in the y -integral to get

$$\int_0^\infty \xi(y) \sin(2ty) \sin(x \cosh(y)) \frac{\sinh(y)}{\cosh(y)} dy = x^{-1} \int_0^\infty \frac{d}{dy} \left(\frac{\xi(y) \sin(2ty)}{\cosh(y)} \right) \cos(x \cosh(y)) dy.$$

With this at hand we can write

$$\Delta(x) = \int_0^\infty \int_0^\infty L(t, y) x^{-1} \cos(x \cosh(y)) dt dy,$$

for an appropriate kernel with the desired L^1 -growth.

Exercise 2.1.d) We go back to the upper bound derived in part *d*) of the exercise. Since

$$\int_0^\infty t\phi(t) \ll T^2$$

the diagonal gives an acceptable contribution. We turn to the off diagonal and insert our approximation for $\Phi(x)$. This gives

$$\sum_{T/2 \leq t_j \leq T} \frac{1}{\cosh(\pi t_j)} \left| \sum_{N/2 \leq n \leq N} a_n \rho_j(n) \right|^2 \ll T^2 \sum_{N/2 \leq n \leq N} |a_n|^2 + \underbrace{\sum_{c=1}^\infty \frac{4\pi^2}{c^2} \sum_{N/2 \leq n, m \leq N} \overline{a_m} a_n \sqrt{nm} S(n, m; c) \Delta(4\pi \frac{nm}{c})}_{=\mathcal{J}(T, N)} + \mathcal{E}.$$

The error is treated trivial using the Weil bound:

$$\mathcal{E} \ll \sum_{c=1}^\infty \sum_{N/2 \leq n, m \leq N} \frac{\sqrt{nm}(n, m, c)^{\frac{1}{2}}}{c^{\frac{3}{2}}} |a_m a_n| T N^{-2} \ll T \sum_{N/2 \leq n \leq N} |a_n|^2.$$

It remains to estimate $\mathcal{J}(T, N)$. To so we split the c -sum in the four pieces $N^2 \leq c < \infty$, $N^{1-\epsilon} \leq c < N^2$, $NT^{-2} \leq c < N^{1-\epsilon}$ and $0 < c < NT^{-2}$. We call these intervals I_i for $i = 1, 2, 3, 4$. Accordingly we get

$$\mathcal{J}(T, N) = \sum_{i=1}^4 \mathcal{J}_i(T, N).$$

Put $\theta = \theta_{\pm, y} = \pm 2 \cosh(y)$ and $b_n = \sqrt{n} a_n$. Note that $0 < y < 2\delta$ so that we can assume $1 < |\theta| < 3$. We get

$$\mathcal{J}_i(T, N) = 2\pi^2 \sum_{\pm} \int_0^\infty \int_0^\infty L(t, y) \sum_{c \in I_i} \frac{1}{c^2} \sum_{N/2 \leq n, m \leq N} \overline{b_m} b_n S(n, m; c) e(\theta_{\pm, y} \frac{\sqrt{nm}}{c}) dy dt.$$

We recognize the inner sums as $B(c, N)$, which we treated in *a*). Applying these results and treating everything else trivially concludes the proof.

Exercise 2.2.a) By considering the Taylor expansion

$$\varphi(t+x) = \varphi(x) + t\varphi'(x) + O((x+T)^{-2}t^2)$$

we get

$$\begin{aligned} \int_{-\infty}^\infty y^{it} \varphi(t) A(t, x) dt &= \frac{y^{ix}}{\cosh(\pi x)} \int_{\mathbb{R}} y^{it} \varphi(t+x) \frac{dt}{\cosh(\pi t)} + O(e^{-2\pi x}) \\ &= y^{ix} \frac{\varphi(x)}{\cosh(\pi x)} \underbrace{\int_{\mathbb{R}} y^{it} \frac{dt}{\cosh(\pi t)}}_{=\alpha(y)} + y^{ix} \frac{\varphi'(x)}{\cosh(\pi x)} \underbrace{\int_{\mathbb{R}} y^{it} \frac{t dt}{\cosh(\pi t)}}_{=\beta(y)} + O((x+T)^{-2} e^{-\pi x}). \end{aligned}$$

Note that α is real and satisfies

$$\alpha(y) = \alpha(1) + O(\log(y)) > \frac{1}{2}\alpha(1).$$

With this at hand we can write

$$\begin{aligned} \frac{\varphi(x)}{\cosh(\pi x)} \left| \sum_l b_l l^{ix} \right|^2 &= \frac{\varphi(x)}{\cosh(\pi x)} \sum_{l_1, l_2} b_{l_1} \overline{b_{l_2}} \left(\frac{l_1}{l_2} \right)^{ix} \\ &= \sum_{l_1, l_2} \frac{b_{l_1} \overline{b_{l_2}}}{\alpha(l_1/l_2)} \int_{\mathbb{R}} \left(\frac{l_1}{l_2} \right)^{it} \varphi(t) A(t, x) dt + O((x+T)^{-2} e^{-\pi x} L \|b_l\|_2^2). \end{aligned}$$

Note that β did not play a role here since it is purely imaginary. In particular, we can also open the n -sum in our original expression and transform it into

$$\pi \sum_{l_1, l_2} \frac{b_{l_1} \overline{b_{l_2}}}{\alpha(l_1/l_2)} \sum_{n, m} \overline{a_m} a_n \int_{\mathbb{R}} \left(\frac{l_1}{l_2} \right)^{it} \varphi(t) \sum_{j=1}^{\infty} A(t, t_j) \overline{\rho_j(m)} \rho_j(n) dt + \mathcal{E}_1.$$

The error \mathcal{E}_1 can be easily treated using the result from Exercise 2.1 and it turns out that $\mathcal{E}_1 \ll L \|b\|_2^2 \|a\|_2^2$. By positivity we add the Eisenstein contribution and apply the forward Kuznetsov formula. The diagonal contribution gives directly $S_1(T, L, N)$, while $S_2(T, L, N)$ comes from the off-diagonal.

Exercise 2.2.b) The claimed estimate

$$\int_{\mathbb{R}} t \varphi(t) \left(\frac{l_1}{l_2} \right)^{it} dt \ll \min(T^2, \log(l_1/l_2)^{-2})$$

follows directly by applying partial integration twice. With this at hand we estimate $S_1(T, L, N)$ trivially and get

$$S_1(T, L, N) \ll T^2 \|a_n\|_2^2 \|b_l\|_2^2 + \sum_{\substack{l_1, l_2 \\ |l_1/l_2| \gg 1}} \log(l_1/l_2)^{-2} |b_{l_1} b_{l_2}| \|a_n\|_2^2 \ll (T^2 + TL) \|a_n\|_2^2 \|b_l\|_2^2.$$

Exercise 2.2.c) To estimate the function $\Phi(x, y)$ we see that the same computation as in Exercise 2.1.c) shows that

$$\Phi(x, y) = \frac{2}{\pi} \int_0^\infty \int_0^\infty y^{it} \varphi(t) \sin(2tz) \sin(x \cosh(z)) \frac{\sinh(z)}{\cosh(z)} dz dt.$$

For $z \geq |\log(y)|$ we partially integrate the t -integral twice to get

$$\int_0^\infty y^{it} \varphi(t) \sin(2tz) dt \ll T(zT)^{-2}.$$

(This can be seen for example by writing $y^{it} \sin(2tz) = \frac{i}{2} [e^{it(\log(y)-2z)} - e^{it(\log(y)+2z)}]$.) Inserting a suitable cutoff function ξ as before allows us to write

$$\Phi(x, y) = \frac{2}{\pi} \int_0^\infty \xi(z) \int_0^\infty y^{it} \varphi(t) \sin(2tz) dt \sin(x \cosh(z)) \frac{\sinh(z)}{\cosh(z)} dz + O(T^{-1}).$$

The estimate is completed by applying partial integration twice in the z -integral (after taking the sine apart):

$$\int_0^\infty \xi(z) \frac{\sinh(z)}{\cosh(z)} e^{2itz \pm ix \cosh(z)} dz \ll t^{-2} \tag{2}$$

The claimed bound directly since our estimate for the z -integral implies

$$\Phi(x, y) \ll K^{-1} + \int_0^\infty t^{-2} \varphi(t) dt \ll K^{-1}.$$

With this estimate for $\Phi(x, y)$ at hand we can estimate $S_2(T, L, N)$. First note that $\frac{4\pi\sqrt{nm}}{c} \ll N \ll T$ and $\frac{l_1}{l_2} \in [\frac{1}{2}, 2]$. We start by using our bound for $\Phi(x, y)$ and the Weil bound to estimate

$$S_2(T, L, N) \ll T^{-1} \sum_{l_1, l_2} |b_{l_1} b_{l_2}| \sum_c \sum_{m, n} |a_n a_m| \frac{\sqrt{mn(n, m, c)}}{c^{\frac{3}{2}-\epsilon}} \ll \frac{LN^{2+\epsilon}}{T} \|a_n\|_2^2 \|b_l\|_2^2.$$

We are done since $N \ll T$.

Exercise 2.3.a) We first look at $r < N$. In this case we insert Basset's integral for the K -Bessel function to obtain

$$\begin{aligned} \tilde{f}(r) &= \int_0^\infty K_{2ir}(x) f(x) \frac{dx}{x} \\ &= \frac{3}{\sqrt{\pi}} \Gamma\left(\frac{1}{2} + 2ir\right) \int_0^\infty \int_0^\infty \left(\frac{2x}{w^2 + x^2}\right)^{2ir} \frac{b(x)}{(x^2 + w^2)^{\frac{3}{2}}} e\left(-\frac{3}{2\pi} \left(\frac{T^2 x^2}{4\tau}\right)^{\frac{1}{3}}\right) \frac{dx}{x} w \sin(w) dw, \end{aligned}$$

where we use the shorthand $b(x) = a\left(\frac{4\pi\sqrt{ul}}{x}, l, u, \tau\right)$. Note that x is of size $N^{\frac{1}{2}}T^\epsilon$ due to the support of b . By partially integrating the x -integral sufficiently often we get

$$\tilde{f}(r) \ll N^{-6} e^{-\pi|r|} \log(T)$$

in this range. Note that the exponential comes from the Γ -factor after using Stirling's formula. We turn towards $|r| \geq N$. Here we use the representation of K_{2ir} in terms of the I -Bessel function and the Taylor series for the latter. Since $x^2 < |r|T^{-\epsilon}$ we can truncate the sum after finitely many terms (say $m_0(\epsilon)$) without introducing any significant error. Let $m \leq m_0(\epsilon)$. Then a typical term we need to treat is of the form

$$\begin{aligned} a_m &= \int_0^\infty b(x) x^{2m-1+2ir} e\left(-\frac{3}{2\pi} \left(\frac{T^2 x^2}{4\tau}\right)^{\frac{1}{3}}\right) dx \\ &= \int_0^\infty b(x) x^{2m-1} \exp(2ir \log(x) - 3i \left(\frac{T^2 x^2}{4\tau}\right)^{\frac{1}{3}}) dx. \end{aligned}$$

If r is not of size N , then we win again by partial integration. Indeed one gets for example

$$a_m \ll (N^3 T^{-1})^{2m} |r|^{-6}$$

so that

$$\tilde{f}(r) \ll |r|^{-6} e^{-\pi|r|}.$$

In the remaining range, $r \asymp N$ we apply the stationary phase lemma as stated above. This gives the result desired result.

Exercise 2.3.b) According to equation (10) on the exercise sheet we have

$$\sum_{v=1}^R j(t_v) S_4(M, N, t_v) = \frac{T_0}{MN} \sum_{v=1}^R \sum_{u,l} \tau(l) \sum_k \frac{S(u, -l; k)}{k} \underbrace{a(k, l, u, t_v) e(-3 \left(\frac{ulT^2}{2\pi k^2 t_v} \right)^{\frac{1}{3}})}_{=f(\frac{4\pi\sqrt{lu}}{k})} + O(RT_0).$$

Applying the backward Kuznetsov formula and inserting the asymptotic expansion from Exercise 2.3.a) we get

$$\begin{aligned} \sum_k \frac{S(u, -l; k)}{k} f\left(\frac{4\pi\sqrt{lu}}{k}\right) &= \int_{\mathbb{R}} (ul)^{ir} \sigma_{2ir}(u) \sigma_{2ir}(l) t_v^{ir} \frac{\theta(r) c(l, u, t_v, r)}{|\Gamma(\frac{1}{2} + ir) \zeta(1 + 2ir)|^2} dr \\ &\quad + 4 \sum_{j=1}^{\infty} \rho_j(l) \rho_j(u) t_v^{it_j} \theta(t_j) c(l, u, t_v; t_j) \\ &\quad + \mathcal{E}_1 + \mathcal{E}. \end{aligned}$$

The errors \mathcal{E}_1 and \mathcal{E}_2 are handled by

$$\mathcal{E}_1 \ll \tau(u) \tau(l) \int_{\mathbb{R}} |\zeta(1 + 2ir)|^{-2} (|r| + N)^{-6} dr \ll N^{-1+\epsilon}$$

and

$$\mathcal{E}_2 \ll \sum_{j=1}^{\infty} \frac{|\rho_j(u) \rho_j(l)|}{(t_j + N)^6 \cosh(\pi t_j)} \ll \sigma(u) \sigma(l) \sum_{j=1}^{\infty} \frac{|\rho_j(1)|^2}{(t_j + N)^6 \cosh(\pi t_j)} \ll ulN^{-4+\epsilon} \ll N^{-1} T^\epsilon.$$

Note that here very crude estimates were sufficient and we used $l \asymp L$ and $u \asymp N$. Inserting this above directly yields the desired estimate. The genesis of the error term is as follows:

$$R \cdot \frac{T_0}{MN} \cdot L \cdot N \cdot N^{-1} T^\epsilon = RT_0 T^\epsilon \cdot \frac{L}{MN} \ll RT_0 T^\epsilon \cdot \frac{MN}{T} = \frac{T^{\frac{1}{2}}}{T_0} \ll RT_0 T^\epsilon,$$

where we used the constraints on the parameters L, M, N and the assumption concerning the size of T_0 .

Exercise 2.3.c) Before we can apply our large sieve results from the previous exercises we have to separate the variables l, u and τ . This is done by writing

$$c(l, u, \tau, r) = \int_{\mathbb{R}} \int_{\mathbb{R}} \int_{\mathbb{R}} \widehat{c}(x_1, x_2, x_3, r) e(x_1 l + x_2 u + x_3 \tau) dx_1 dx_2 dx_3$$

via Fourier inversion. The Fourier transform \widehat{c} is easily controlled by partial integration:

$$\widehat{b}(x_1, x_2, x_3, r) \ll \beta(x_1, x_2, x_3) = (x_1^2 + 1)^{-1} (x_2^2 + 1)^{-1} (x_3^2 + 1)^{-1}.$$

With this at hand we can bound

$$\sum_{v=1}^R j(t_v) S_4(M, N, t_v) \ll RT_0 T^\epsilon + \mathcal{S}_{\text{cusp}} + \mathcal{S}_{\text{Eis}},$$

where

$$\mathcal{S}_{\text{cusp}} = \frac{T_0}{MN} \sum_{t_j \asymp N} \frac{1}{t_j \cosh(\pi t_j)} \int_{\mathbb{R}} \int_{\mathbb{R}} \int_{\mathbb{R}} \left| \sum_{v=1}^R t_v^{ir} e(x_3 t_v) \right| \left| \sum_{u \asymp N} \rho_j(u) e(x_2 u) \right| \left| \sum_{l \asymp L} \rho_j(l) \tau(l) e(x_1 l) \right| d\beta$$

and

$$\mathcal{S}_{\text{Eis}} = \frac{T_0 \log(N)^2}{MN^2} \int_{r \asymp N} \int_{\mathbb{R}} \int_{\mathbb{R}} \int_{\mathbb{R}} \left| \sum_{v=1}^R t_v^{ir} e(x_3 t_v) \right| \left| \sum_{u \asymp N} \sigma_{2ir}(u) u^{ir} e(x_2 u) \right| \left| \sum_{l \asymp L} \sigma_{2ir}(l) \tau(l) l^{ir} e(x_1 l) \right| dr d\beta$$

We first treat the Eisenstein contribution. First we apply Cauchy-Schwarz and bound the u -sum trivially. This gives

$$\begin{aligned} \mathcal{S}_{\text{Eis}} &\ll \frac{T_0 N^\epsilon}{MN} \int_{\mathbb{R}^3} \left(\int_{\asymp N} \left| \sum_{v=1}^R t_v^{ir} e(x_3 t_v) \right|^2 dr \right)^{\frac{1}{2}} \left(\int_{\asymp N} \left| \sum_{l \asymp L} \sigma_{2ir}(l) \tau(l) l^{ir} e(x_1 l) \right|^2 dr \right)^{\frac{1}{2}} d\beta \\ &\ll \frac{T_0 T^\epsilon}{MN} \cdot (N + \frac{T}{T_0}) R \cdot (N\sqrt{L} + L) L^{1+\epsilon} \\ &\ll \frac{T_0 T^\epsilon}{MN} \cdot (N + \frac{T}{T_0})^{\frac{1}{2}} R^{\frac{1}{2}} \cdot (N\sqrt{L} + L)^{\frac{1}{2}} L^{\frac{1}{2}} \ll R^{\frac{1}{2}} T_0^{-\frac{1}{2}} T^{1+\epsilon}. \end{aligned}$$

The sum $\mathcal{S}_{\text{cusp}}$ is treated by using Cauchy-Schwarz on the t_j -sum to get precisely the averages treated in Exercise 2.1 and 2.2. Applying these yields

$$\begin{aligned} \mathcal{S}_{\text{cusp}} &= \frac{T_0}{MN^2} \int_{\mathbb{R}^3} \left(\sum_{t_j \asymp N} \frac{1}{\cosh(\pi t_j)} \left| \sum_{l \asymp L} \rho_j(l) \tau(l) e(x_1 l) \right|^2 \right)^{\frac{1}{2}} \\ &\quad \cdot \left(\sum_{t_j \asymp N} \frac{1}{\cosh(\pi t_j)} \left| \sum_{v=1}^R t_v^{ir} e(x_3 t_v) \right|^2 \left| \sum_{u \asymp N} \rho_j(u) e(x_2 u) \right|^2 \right)^{\frac{1}{2}} d\beta \\ &\ll \frac{T_0 T^\epsilon}{MN^2} (N^2 + L)^{\frac{1}{2}} (N + R)^{\frac{1}{2}} L^{\frac{1}{2}} N R^{\frac{1}{2}} \ll R^{\frac{1}{2}} T_0^{-\frac{1}{2}} T^{1+\epsilon}. \end{aligned}$$

This completes the proof.